

FBA Case Study

Directions:

As a team, read through this case study. Use the FBA Case Study to complete the PTR-FBA Checklist. Finalize both the Summary & Hypothesis tables.

1. Identify the problem behavior hypothesis (*When, Then, As a Result*).
2. Identify a replacement behavior the student needs to be taught (*replace the 'Then' part of the hypothesis only*).

Lisa is a student who exhibits tantrums and aggressive behaviors.
- *To make this relevant, choose a grade level for Lisa based on your context.*

Problem/Target Behavior: Lisa yells or screams and throws objects or hits.

It is reported that Lisa shows aggressive behavior during English/Language Arts class only, which happens to be in the morning. The class is very structured and follows the same routine every day. First, students are expected to read their book in groups, followed by independently writing in their journal. Lisa's favorite activities are working on the computer and working with peers, especially one-on-one with a peer. She is friendly and enjoys interacting with others.

Mr. Stevens, the English/Language Arts teacher, maintains a very pleasant classroom: it's clean, quiet, and maintains a perfect temperature. He creates a nice learning space for all. Mr. Stevens has reported that Lisa tends to be aggressive when he asks her to write in her journal independently after she is finished reading her book in groups. When Lisa gets upset she tends to exhibit aggressive behavior, sometimes she yells/screams, other times she throws things or hits. That kind of behavior is unacceptable, so every time Lisa shows aggression Mr. Stevens places her outside his room for the rest of the class period. Mr. Stevens reports that sending her outside the classroom is not working, Lisa continues to show aggressive behaviors during his class and he has asked the PBIS team to conduct a Functional Behavioral Assessment (FBA) to identify a problem behavior hypothesis and a replacement behavior.

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PTR FUNCTIONAL BEHAVIOR ASSESSMENT CHECKLIST

Problem behavior: _____ Person responding: _____ Student: _____



PTR Functional Behavior Assessment Checklist

Directions:

1. The following Prevent-Teach-Reinforce (PTR) functional behavioral assessment (FBA) has three sections—Prevent, Teach, and Reinforce—and is 6 pages in length.
2. Complete one FBA for each problem behavior targeted on the behavior rating scale (BRS). For example, if both *hitting others* and *screaming* are listed on the BRS, two FBAs will be completed.
3. Do not complete the assessment on any prosocial/desired behaviors targeted on the BRS.
4. List the problem behavior on the top of each assessment form to ensure responses are given for that behavior only.
5. Answer each question by checking all the appropriate areas that apply, or by writing the response(s) that best describe events related to the problem behavior specified.

PTR Functional Behavior Assessment

PREVENT Component

- 1a. Are there **times of the school day** when problem behavior is **most likely** to occur?
If yes, what are they?

Morning Before meals During meals After meals Arrival
 Afternoon Dismissal Other: _____

- 1b. Are there **times of the school day** when problem behavior is **least likely** to occur?
If yes, what are they?

Morning Before meals During meals After meals Arrival
 Afternoon Dismissal Other: _____

- 2a. Are there **specific activities** when problem behavior is **very likely** to occur? If yes, what are they?

Reading/LA Writing Math Science
 Independent work Small-group work Large-group work Riding the bus
 One-on-one Computer Recess Lunch
 Free time Peer/cooperative work Centers Discussions/Q&A
 Worksheets, seatwork Specials (specify) Transitions (specify)
Other: _____

- 2b. Are there **specific activities** when cooperative and prosocial behavior is **very likely** to occur?
What are they?

Reading/LA Writing Math Science
 Independent work Small-group work Large-group work Riding the bus
 One-on-one Computer Recess Lunch
 Free time Peer/cooperative work Centers Discussions/Q&A
 Worksheets, seatwork Specials (specify) Transitions (specify)
Other: _____

PTR FUNCTIONAL BEHAVIOR ASSESSMENT CHECKLIST

Problem behavior: _____ Person responding: _____ Student: _____

Prevent component (continued)

3a. Are there **specific classmates or adults** whose proximity is associated with a high likelihood of problem behavior? If so, who are they?

- | | |
|--|-------------------------------------|
| <input type="checkbox"/> Peers (specify) _____ | <input type="checkbox"/> Bus driver |
| <input type="checkbox"/> Teacher(s) (specify) _____ | <input type="checkbox"/> Parent |
| <input type="checkbox"/> Paraprofessional(s) (specify) _____ | |
| <input type="checkbox"/> Other school staff (specify) _____ | |
| <input type="checkbox"/> Other family member (specify) _____ | |

Other: _____

3b. Are there **specific classmates or adults** whose proximity is associated with a high likelihood of cooperative and prosocial behavior? If so, who are they?

- | | |
|--|-------------------------------------|
| <input type="checkbox"/> Peers (specify) _____ | <input type="checkbox"/> Bus driver |
| <input type="checkbox"/> Teacher(s) (specify) _____ | <input type="checkbox"/> Parent |
| <input type="checkbox"/> Paraprofessional(s) (specify) _____ | |
| <input type="checkbox"/> Other school staff (specify) _____ | |
| <input type="checkbox"/> Other family member (specify) _____ | |

Other: _____

4. Are there **specific circumstances** that are associated with a high likelihood of problem behavior?

- | | | |
|---|--|---|
| <input type="checkbox"/> Request to start task | <input type="checkbox"/> Task too difficult | <input type="checkbox"/> Transition |
| <input type="checkbox"/> Being told work is wrong | <input type="checkbox"/> Task too long | <input type="checkbox"/> Student is alone |
| <input type="checkbox"/> Reprimand or correction | <input type="checkbox"/> End of preferred activity | <input type="checkbox"/> Unstructured time |
| <input type="checkbox"/> Told "no" | <input type="checkbox"/> Task is boring | <input type="checkbox"/> Novel task |
| <input type="checkbox"/> Seated near specific peer | <input type="checkbox"/> Peer teasing or comments | <input type="checkbox"/> Change in schedule |
| <input type="checkbox"/> Task is repetitive (same task daily) | <input type="checkbox"/> Removal of preferred item | |
| <input type="checkbox"/> Start of nonpreferred activity | <input type="checkbox"/> Down time (no task specified) | |
| <input type="checkbox"/> Teacher is attending to other students | | |

Other: _____

5. Are there conditions in the **physical environment** that are associated with a high likelihood of problem behavior? For example, too warm or too cold, too crowded, too much noise, too chaotic, weather conditions....

- Yes (specify) _____
- No

6. Are there circumstances **unrelated to the school setting** that occur on some days and not other days that may make problem behavior more likely?

- | | | |
|---|---|--|
| <input type="checkbox"/> Illness | <input type="checkbox"/> No medication | <input type="checkbox"/> Drug/alcohol abuse |
| <input type="checkbox"/> Allergies | <input type="checkbox"/> Change in medication | <input type="checkbox"/> Bus conflict |
| <input type="checkbox"/> Physical condition | <input type="checkbox"/> Home conflict | <input type="checkbox"/> Sleep deprivation |
| <input type="checkbox"/> Hunger | <input type="checkbox"/> Fatigue | <input type="checkbox"/> Parties or social event |
| <input type="checkbox"/> Change in diet | <input type="checkbox"/> Change in routine | <input type="checkbox"/> Parent not home |

PTR FUNCTIONAL BEHAVIOR ASSESSMENT CHECKLIST

Problem behavior: _____ Person responding: _____ Student: _____

Prevent component (continued)

- Hormones or menstrual cycle
- Stayed with noncustodial parent

Other: _____

Additional comments not addressed above in the **Prevent component**: _____

PTR Functional Behavior Assessment **TEACH Component**

1. Does the *problem behavior* seem to be exhibited in order to **gain attention from peers**?
 Yes (*list the specific peers*) _____
 No

2. Does the *problem behavior* seem to be exhibited in order to **gain attention from adults**?
If so, are there particular adults whose attention is solicited?
 Yes (*list the specific adults*) _____
 No

3. Does the *problem behavior* seem to be exhibited in order to **obtain objects** (e.g., toys or games, materials, food) from peers or adults?
 Yes (*list the specific objects*) _____
 No

4. Does the *problem behavior* seem to be exhibited in order to **delay a transition** from a preferred activity to a nonpreferred activity?
 Yes (*list the specific transition*) _____
 No

5. Does the *problem behavior* seem to be exhibited in order to **terminate or delay** a nonpreferred (e.g., difficult, boring, repetitive) task or activity?
 Yes (*list the specific nonpreferred tasks or activities*) _____
 No

6. Does the *problem behavior* seem to be exhibited in order to **get away from** a nonpreferred classmate or adult?
 Yes (*list the specific peers or adults*) _____
 No

PTR FUNCTIONAL BEHAVIOR ASSESSMENT CHECKLIST

Problem behavior: _____ Person responding: _____ Student: _____

Teach component (continued)

7. What **social skill(s)** could the student learn in order to reduce the likelihood of the *problem behavior* occurring in the future?

- Peer interaction
- Play skills
- Joint or shared attention
- Waiting for reinforcement
- Getting attention appropriately
- Sharing objects
- Sharing attention
- Conversation skills
- Accepting differences
- Taking turns
- Losing gracefully
- Making prosocial statements

Other: _____

8. What **problem-solving skill(s)** could the student learn in order to reduce the likelihood of the problem behavior occurring in the future?

- Recognizing need for help
- Asking for help
- Ignoring peers
- Making an outline
- Move ahead to easier items, then go back to difficult items
- Using visual supports to work independently
- Making choices from several appropriate options
- Note-taking strategies
- Assignment management
- Graphic organizers
- Self-management
- Staying engaged
- Working independently
- Working with a peer

Other: _____

9. What **communication skill(s)** could the student learn in order to reduce the likelihood of the problem behavior occurring in the future?

- Asking for a break
- Requesting information
- Active listening
- Expressing emotions (frustration, anger, hurt)
- Raising hand for attention
- Requesting wants
- Commenting
- Asking for help
- Rejecting
- Responding to others

Other: _____

Additional comments not addressed above in the **Teach component**: _____

PTR FUNCTIONAL BEHAVIOR ASSESSMENT CHECKLIST

Problem behavior: _____ Person responding: _____ Student: _____

PTR Functional Behavior Assessment

REINFORCE Component

1. What **consequence(s)** usually follow the student's *problem behavior*?

| | | |
|--|--|---|
| <input type="checkbox"/> Sent to time-out | <input type="checkbox"/> Gave personal space | <input type="checkbox"/> Verbal reprimand |
| <input type="checkbox"/> Chair time-out | <input type="checkbox"/> Stated rules | <input type="checkbox"/> Head down |
| <input type="checkbox"/> Sent to office | <input type="checkbox"/> Sent home | <input type="checkbox"/> Calming/soothing |
| <input type="checkbox"/> Assistance given | <input type="checkbox"/> Verbal redirect | <input type="checkbox"/> Delay in activity |
| <input type="checkbox"/> Activity changed | <input type="checkbox"/> Activity terminated | <input type="checkbox"/> Physical prompt |
| <input type="checkbox"/> Peer reaction | <input type="checkbox"/> Physical restraint | <input type="checkbox"/> Removal of reinforcers |
| <input type="checkbox"/> Sent to behavior specialist/counselor | | |
| <input type="checkbox"/> Natural consequences (specify) _____ | | |

Other: _____

2. Does the student **enjoy praise** from teachers and other school staff? Does the student enjoy praise from some teachers more than others?

Yes (*list specific people*) _____

No

3. What is the likelihood of the student's **appropriate behavior** (e.g., on-task behavior, cooperation, successful performance) resulting in acknowledgment or praise from teachers or other school staff?

Very likely Sometimes Seldom Never

4. What is the likelihood of the student's **problem behavior** resulting in acknowledgment (e.g., reprimands, corrections) from teachers or other school staff?

Very likely Sometimes Seldom Never

5. What school-related items and activities are **most enjoyable** to the student? What items or activities could serve as special rewards?

| | | |
|---|---|--|
| <input type="checkbox"/> Social interaction with adults | <input type="checkbox"/> Music | <input type="checkbox"/> Art activity |
| <input type="checkbox"/> Social interaction with peers | <input type="checkbox"/> Puzzles | <input type="checkbox"/> Computer |
| <input type="checkbox"/> Playing a game | <input type="checkbox"/> Going outside | <input type="checkbox"/> Video games |
| <input type="checkbox"/> Helping teacher | <input type="checkbox"/> Going for a walk | <input type="checkbox"/> Watching TV/video |
| <input type="checkbox"/> Extra PE time | <input type="checkbox"/> Line leader | <input type="checkbox"/> Reading |
| <input type="checkbox"/> Going to media center | <input type="checkbox"/> Extra free time | |
| <input type="checkbox"/> Sensory activity (specify) _____ | | |
| <input type="checkbox"/> Food (specify) _____ | | |
| <input type="checkbox"/> Objects (specify) _____ | | |

Other: _____

PTR FUNCTIONAL BEHAVIOR ASSESSMENT CHECKLIST

Problem behavior: _____ Person responding: _____ Student: _____

Reinforce component (continued)

Additional comments not addressed above in the **Reinforce component**: _____



PTR Functional Behavior Assessment Summary Table

Directions:

1. Gather all Prevent-Teach-Reinforce (PTR) Functional Behavior Assessments (FBAs) completed for one problem behavior (see Appendix 4.1).
2. List the problem behavior on the FBA Summary Table.
3. Starting with one completed FBA, list events in the respective Prevent, Teach, and Reinforce columns, beginning to identify and group information in patterns.
4. Do the same for events marked for the prosocial behavior.
5. Continue grouping information into the current patterns (or new ones as needed) as the remaining completed FBAs are summarized.
6. As a team, identify the data in the Prevent section that are most likely to result in problem behavior *or* that are most likely to result in problem behavior that is most disruptive to the classroom. List the agreed-upon events in the *When* box of the possible hypothesis.
7. As a team, discuss any discrepancies in the teaching and reinforcement data to ensure an accurate function of problem behavior is identified. List the agreed-upon events in the *as a result* box of the possible hypothesis.
8. As a team, identify the broad category of behavior or the specific replacement behavior the student needs to be taught. List the agreed-upon behavior in the *replacement behavior* box of possible hypotheses.

Student _____ **School** _____ **Date** _____

| Behavior | PREVENT data | TEACH data | REINFORCE data |
|--------------------|--------------|------------|----------------|
| Target Behavior | | | |
| Prosocial Behavior | | | |

Possible hypotheses

| | When | Then | As a result |
|-----------------------------|------|------|-------------|
| Problem behavior | | | |
| Replacement behavior | | | |

Prevent-Teach-Reinforce: The School-Based Model of Individualized Positive Behavior Support by G. Dunlap, R. Iovannone, D. Kincaid, K. Wilson, K. Christiansen, P. Strain, and C. English. Copyright © 2010 Paul H. Brookes Publishing Co., Inc. All rights reserved.